

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P O Box 1430 Alexandra, Virginia 22313-1450 www.wepto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|------------------------------|----------------------|---------------------|------------------|
| 10/590,654 | 10/05/2007 | Peter Merz | 129021 | 2150 |
| 27049 OLIFF & BER | 7590 09/01/201 RIDGE, PLC | 0 | EXAMINER | |
| P.O. BOX 320850 ALEXANDRIA, VA 22320-4850 | | | ORLANDO, MICHAEL N | |
| ALEXANDRI | A, VA 22320-4850 | | ART UNIT | PAPER NUMBER |
| | | | 1791 | |
| | | | | |
| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 09/01/2010 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction27049@oliff.com jarmstrong@oliff.com

Application No. Applicant(s) MERZ ET AL. 10/590,654 Office Action Summary Examiner Art Unit

| | MICHAEL N. ORLANDO | 1791 | | | | | |
|---|--|--|-------------|--|--|--|--|
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DV. Extensions of time may be available under the provisions of 3 CFR 1.13 after SIX (6) MONTHS from the mailing date of the communication. If NO period for reply is specified above, the macrimum statutory period way and the communication of the | ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tin till apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | N. nely filed the mailing date of this o D (35 U.S.C. § 133). | , | | | | |
| Status | | | | | | | |
| Responsive to communication(s) filed on <u>0.8/25</u> This action is FINAL . 2b)⊠ This Since this application is in condition for allowar closed in accordance with the practice under <i>E</i> | action is non-final. ace except for formal matters, pro | | e merits is | | | | |
| Disposition of Claims | | | | | | | |
| 4)∑ Claim(s) 1-40 is/are pending in the application. 4a) Of the above claim(s) is/are withdrav 5) | | | | | | | |
| Application Papers | | | | | | | |
| 9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) acce Applicant may not request that any objection to the or Replacement drawing sheat(s) including the correction 11) The oath or declaration is objected to by the Examiner. | epted or b) objected to by the I drawing(s) be held in abeyance. See on is required if the drawing(s) is obj | a 37 CFR 1.85(a). jected to. See 37 C | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | | |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior | s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)). | on No ed in this National | Stage | | | | |
| | | | | | | | |
| Attachment(s) 1) Notice of References Cited (PTO-892) | 4) Interview Summary | (PTO-413) | | | | | |

- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
 3) Information Disclosure Statement(s) (PTO/SB/00)
 - Paper No(s)/Mail Date 08/25/2006.

- Paper No(s)/Mail Date. ____ 5) Notice of Informal Patent Application 6) Other: __

Application/Control Number: 10/590,654 Page 2

Art Unit: 1791

DETAILED ACTION

Claim Rejections - 35 USC § 103

 The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148
 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
 - Determining the scope and contents of the prior art.
 - 2. Ascertaining the differences between the prior art and the claims at issue.
 - Resolving the level of ordinary skill in the pertinent art.
 - Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Application/Control Number: 10/590,654

Art Unit: 1791

 Claims 1-12, 19, and 21-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kramer (WO 03/093387) as cited through it's English language equivalent (US 2005/0159511), in view of Harrison et al. (US H2047 H).

Regarding claim 1, Kramer discloses an adhesive useful for bonding metal automobile components whereby the adhesive comprises the claims epoxy ([0024]), the claimed epoxy adducts B, B1 and B2 ([0026]-[0029]), the reaction product of the epoxy adduct with isocyanate groups from a polyurethane prepolymer ([0063], [0078]) and the curing agent which is activated by elevated temperature ([0039]).

While Kramer does indicate that the adhesive can be converted to a hotmelt by reacting the free hydroxyls (namely on the epoxy adduct) with isocyanates or isocyanate prepolymers, Kramer is vague as to the guidance of reacting hydroxylated epoxies with isocyanate type prepolymers.

Harrison, drawn also to the automobile bonding art, discloses a hotmelt adhesive (claim 9) that includes the reaction of a hydroxylated epoxy with an isocyanate type prepolymer (column 11, column 13, lines 17-22). Harrison discloses that the polyisocyanate may be in excess to all the other components (column 13, lines 10-15), discloses that the epoxide groups can also be reacted with the polyisocyanate to form an oxazolidine polymer matrix (column 12, lines 10-20) and also discloses that the reaction product produces great bonds to even oily substrates, reduces warpage and reduces corrosion (column 10, lines 7-22). In light of Harrison's teachings and in light of Kramer's general guidance it would have been obvious to have reacted the hydroxylated epoxy adducts with polyisocyanates and/or polyisocyanate type

Application/Control Number: 10/590,654

Art Unit: 1791

prepolymers inorder to produce a strongly adhering, stable, hotmelt adhesive. The additional isocyanate (applicant's K2) is evidenced by the ranges set forth by Harrison (column 13, lines 10-15) and by the desire to create an intricate polymer matrix as set forth by Harrison (column 12, lines 10-20).

Regarding claim 2, Kramer discloses the epoxy as liquid ([0024]).

Regarding claim 3, Kramer discloses the claimed dicarboxylic acid and diglycidyl ether ([0026]).

Regarding claim 4, Kramer discloses the B2 adduct as presently claimed ([0027]-[0028]).

Regarding claim 5, Kramer discloses the claimed molecular weight values for the epoxy adduct ([0030]).

Regarding claims 6 and 9, Harrison discloses the claimed prepolymers (column 11; column 13, lines 17-22).

Regarding claim 7, Harrison discloses producing a polyurethane prepolymer first which would result in an isocyanate end capped product that is then reacted with rest of the composition (column 13, lines 17-22). Reacting the product with the epoxy adduct of Kramer would naturally product the claimed structure. Where the claimed and prior art products are identical or substantially identical, or are produced by identical or substantially identical processes, the PTO can require an applicant to prove that the prior art products do not necessarily or inherently possess the characteristics of the claimed product. Whether the rejection is based on "inherency" under 35 USC § 102, on prima facie obviousness" under 35 USC § 103, jointly or alternatively, the burden of

Application/Control Number: 10/590,654

Art Unit: 1791

proof is the same, and its fairness is evidenced by the PTO's inability to manufacture products or to obtain and compare prior art products." In re Best, 562 F2d 1252, 1255, 195 USPQ 430, 433-4 (CCPA 1977).

Regarding claim 8, Kramer discloses the claimed curing agents ([0039]).

Regarding claim 10, Kramer discloses the claimed epoxy resin to epoxy adduct ratio as suitable ([0032]).

Regarding claim 11, Kramer discloses the use of a filler in the claimed range ([0046]).

Regarding claim 12, Kramer discloses the use of a reactive diluent with epoxy groups ([0047]-[0055]).

Regarding claims 19, 21 and 22, Kramer discloses that the adhesive is useful for bonding automobile substrates ([0058]-[0059]). The claims are drawn to the product itself so while the method of arriving at the product may be different the actual bonded automobile composite produced with the epoxy-based adhesive is already known.

Regarding claim 23, Kramer discloses the claimed epoxy resin type ([0024]).

Regarding claim 24, Kramer discloses the dicarboxylic acid as a dimeric C4-C20 fatty acid ([0026]).

Regarding claims 25 and 26, Kramer discloses the claimed molecular weight values for the epoxy adduct ([0030]).

Regarding claims 27-30, Harrison discloses the claimed polyol selection (column 11, lines 1-12).

Art Unit: 1791

Regarding claim 31, Kramer discloses the claimed epoxy resin to epoxy adduct ratio as suitable ([0032]).

Regarding claim 32, Kramer discloses the use of a filler in the claimed range ([0046]).

Allowable Subject Matter

5. Claims 13-18, 20 and 33-40 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. The prior art of record, while suggestive of the claimed adhesive fails to teach the claimed method of using that adhesive.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL N. ORLANDO whose telephone number is (571) 270-5038. The examiner can normally be reached on Monday-Thursday, 7:30am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Philip C. Tucker can be reached on (571) 272-1095. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/590,654 Page 7

Art Unit: 1791

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MO

/Philip C Tucker/ Supervisory Patent Examiner, Art Unit 1791